SAURABH KATHPALIA

CFPCM, RIA

Details

9910174449

saurabh@advizorz.com

Services & Skills

Wealth Management

Comprehensive Financial Planning

Advisory on Equity Derivative Strategies

Asset Allocation & Re-Balancing of Portfolio

Existing Portfolio X-ray and Review Report

Financial Ratio Analysis of Portfolio

Strategic Planning

Financial Markets Assessment

Trend Analysis

Languages

English

Hindi

Punjabi

Hobbies

Reading Financial and Investment Books, Watching Financial Documentaries , Financial Movies, A Marathon Runner and a fitness enthusiast, Cricket

Profile

A SEBI Registered Investment Advisor, a Certified Financial Planner Charter Member with the Financial Planning Standards Board of India and an NISM Certified Equity Derivatives specialist and an Equity Research Analyst, with over fifteen years of rich Wealth management, Financial Planning and Banking experience. Providing clients with optimal assistance with retirement planning, estate planning, investment advice, tax strategies and overall portfolio management.

Proven track record of assisting HNIs, UHNIs, SMEs and Corporates in reaching financial goals.

Adept at developing and nurturing Long Term Relationships.

Expertise in managing and minimizing portfolio risks and optimizing portfolio returns.

Work Experience

Founder, ADVIZORZ.COM, NOIDA

OCTOBER 2020

- A SEBI Registered Investment Advisor and a Certified Financial Planner, Charter Member.
- Added significant Alpha in client portfolios through diversification, bench marking and bringing in more transparency by reduction in portfolio level total Expenses.
- Expertise in Risk Management in Derivatives and Equity Research Analysis.

Co-Founder & Director, Opulence Wealth Labs Pvt. Ltd., Noida

AUGUST 2014 — SEPTEMBER 2020

- Garnered over INR 250 Crores in Assets Under Management for over 1000 clients.
- Mentored new team members and worked collaboratively with existing members.
- Among Top 2% Mutual Fund Distributors in INDIA in terms of Assets Under Management.

Senior Financial Advisor, Ameriprise India Private Limited, Noida

SEPTEMBER 2012 — AUGUST 2014

- Pan India No 1 performer for Ameriprise India Pvt Ltd and the only Financial Advisor Pan India to be awarded the highest possible rating.
- Comprehensive Financial Planning and Portfolio Management.
- Recipient of Multiple CEO awards and international performance recognition Trips.
- Fostered successful relationships with 250+ clients, providing them with top of the line financial advisement.

Area Sales Manager, Standard Chartered Bank, New Delhi

JUNE 2010 — SEPTEMBER 2012

- Managed a Team of Relationship Managers to acquire HNI and Ultra HNI customers for Premium Banking division of Standard Chartered Bank.
- Recipient of Multiple Awards and international performance recognition Trips.

Branch Sales Manager, AXIS Bank Ltd, New Delhi

SEPTEMBER 2007 — JUNE 2010

- Top performing Branch Sales Manager at AXIS Bank Ltd.
- Was recipient of CEO awards at international locations.

Assistant Manager, ICICI Bank Ltd, Noida

NOVEMBER 2005 — AUGUST 2007

- Top performer at ICICI Bank Ltd and recipient of CEO Award at Gala International event.

Personal Banker, HDFC Bank Ltd, Panipat

APRIL 2005 — NOVEMBER 2005

- Recipient of multiple Certificates of appreciation from Branch Head at HDFC Bank Ltd.
- Responsible for Branch Banking operations, Branch Audit and Cross Sell

Professional Certifications

SEBI Registered Investment Advisor

Certified Financial Planner, Charter Member, FPSB , INDIA

Certified by NISM for Equity Derivatives specialization

Certified by NISM as an Equity Research Analyst

Education

MBA, Department of Management, Kurukshetra University, Kurukshetra, Haryana

APRIL 2003 — APRIL 2005

- Entrance Exam Topper and Graduated with High Honors.